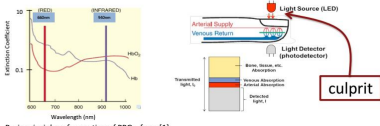


## Background

Continuous heart rate (HR) monitoring is becoming increasingly important for health tracking and diagnostics. Modern approaches use photoplethysmography (PPG) and typically consume a lot of power. This work explores techniques to reduce the power of PPG-based HR sensors to a level sufficient for continuous monitoring (< 100  $\mu W$ ) while still maintaining robustness.



Basic principles of operation of PPGs, from [1].

## Experiment Design

Most work was conducted on data from the ICASSP signal processing cup challenge [5]. As in the challenge, we attempt to determine the heart rate for 8-second windows spaced 2-seconds apart. The target is to minimize average absolute error (avAE). We deviate from the challenge, however, in our goal to achieve low power by limiting sample rate to 12.5Hz (10x decimation of the original sample rate).

Training data comprises 12 samples of users undergoing a set exercise routine lasting 5 minutes long. The data includes PPG, accelerometer, and ECG data (ECG is for reference only).

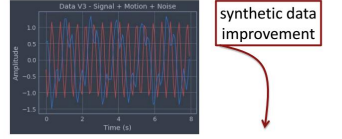
We tested two approaches: a time-domain approach using sequential deep learning models and a frequency-domain approach using traditional deep learning. Because of the minimal amount of provided data, we augmented it with data synthesis.

## Time-Domain Approach

Key idea: Feed PPG and accelerometer signals for each 8-second evaluation window directly into an LSTM and output the heart rate as a category.

Details: The best model had PPG and accelerometer input (2x100) feed directly into a 512-unit LSTM, then to a 512-neuron dense layer, a dropout(0.5) layer, and finally a softmax layer with 160 outputs (one for each frequency bin 40...199 bpm).

Results: On a challenging synthetic dataset, the network achieves 70% accuracy. These results do not generalize well to the ICASSP data where there is a high standard deviation average error (sdAE).



## Frequency-Domain Approach

Key idea: Feed FFTs of the PPG and accelerometer signals in each evaluation window to a neural network with categorical heart rate bins as outputs.

Details: Inspired by [6], we chose to feed two 64-point FFTs per signal to allow time-varying information to seep into the classification task. The network itself takes 4 FFTs (4x32) through three 512-node dense layers and a final softmax layer with 160 outputs (one for each frequency bin).

Results: On our most challenging synthetic dataset, the network achieves 58% accuracy. These results generalize weakly to the ICASSP data. ICASSP results below are the result of including the first six samples in the training set, so only the last six should be used to judge generalizability.



## Prior Art

- [2]
- [3]
- [4]

## Results and Conclusion

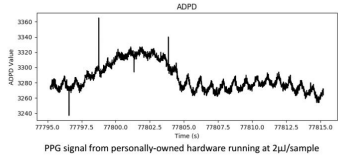
The time-domain approach requires less up-front processing and is able to achieve very low error rates in most cases, but it is also very sensitive and fails often when run on the ICASSP data.

The frequency-domain approach requires FFT-preprocessing, but is a bit more robust especially on ICASSP, when compared to the time-domain approach. Because the evaluation windows are short, the FFTs have low frequency resolution and are thus less precise than the time-domain approach in optimal circumstances. It is possible that a combined TD and FD approach can achieve high precision and high reliability.

	Synthetic Data (1.5M Tr   100k Dev)	ICASSP avAE   sdAE (884 Train   884 Dev)
Time-Domain	72%   70% (30 epochs)	5.9 BPM   17.5 BPM
Freq-Domain	62%   58% (30 epochs)	2.8 BPM   8.3 BPM

Power: Independent (personal) testing on hardware suggests that the SNRs required for both of these algorithms implies an energy expenditure of ~2 $\mu J$ /sample. With these algorithms demonstrated at 12.5Hz, a system running at 25 $\mu W$  could achieve sub-3 BPM avAE, surpassing [4] in power, accuracy, and robustness.

However, this does not include the computational costs of performing multiple 512x512 matrix multiplies. When factoring the computational cost in, it is unlikely the system could achieve sub-100 $\mu W$  operation.



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